

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing

CHECK BOX, if applicable

☐ DUPLICATE

(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

Address to:

Assistant Commissioner for Patents
Box CPA
Washington, DC 20231

Attorney Docket No.
of Prior Application

37310-000144/US

First Named Inventor

Victor KATSAP et al.

Examiner Name

K. FERNANDEZ

Group / Art Unit

2881

Express Mail Label No.

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),(continued prosecution application (CPA)) of prior application number 09/414,004filed on October 7, 1999, entitled LENS ARRAY FOR ELECTRON BEAM LITHOGRAPHY.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. ☒ Enter the unentered amendment previously filed on January 2, 2002 under 37 CFR 1.116 in the prior nonprovisional application
2. ☐ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53 (d)(4).
 - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:

 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ PTO-1449
 - b. ☐ Copies of IDS Citations

09/04/2002 SSESHE1 00000059 09414004

01 FC:131

740.00 OP

02 FC:103

342.00 OP

03 FC:102

504.00 OP

[Page 1 of 2]:117

940.00 OP

CPA/2881
#16/CPA
EXT. OF
Time
(3ma)
9-11-02
D. Bell

TECHNOLOGY CENTER 2800

SEP - 6 2002

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	39-20* =	19	x \$18.00=	\$ 342.00
	INDEPENDENT CLAIMS 37 CFR 1.16(b) or (i))	9-3** =	6	x \$84.00=	504.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			x \$_____ =	
				BASIC FEE (37 CFR 1.16)	740.00
				Total of above Calculations =	1,586.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.27).				
	* Reissue claims in excess of 20 and over original patent.				
	** Reissue independent claims over original patent.				
	TOTAL =				1,586.00

6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. ☒ The applicant(s) hereby petition(s) for an extension of three (3) month(s) pursuant to 37 C.F.R. §§ 1.17 and 1.136(a). Thus, a fee of \$920.00 is required for the above-requested extension of time.
8. ☒ A check in the amount of \$ 2,506.00 is enclosed.
9. ☐ Payment by credit card. Form PTO-2038 is attached.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) (fee under 37 CFR 1.17(l) enclosed).
11. ☐ New Attorney Docket Number, if desired
[Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]
12. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)
b. ☐ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
13. ☐ Other: _____

NOTE: The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

12. NEW CORRESPONDENCE ADDRESS

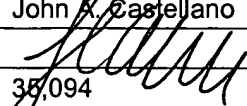
☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ New correspondence address below

Name	HARNESS, DICKEY & PIERCE, P.L.C.				
Address	P.O. Box 8910				
City	Reston	State	VA	Zip Code	20195
Country	United States	Telephone	703-390-3030	Fax	703-390-3020

13. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print /Type)	John R. Castellano
Signature	
Registration No. (Attorney/Agent)	35,094
Date	September 3, 2002



BOX ISSUE FEE
PATENT
37310-000144/US

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Victor KATSAP et al. Conf: 2693
Appl. No.: 09/414,004 Group: 2881
Filed: October 7, 1999 Examiner: K. FERNANDEZ
For: LENS ARRAY FOR ELECTRON BEAM LITHOGRAPHY

REQUEST FOR INTERVIEW UNDER M.P.E.P. § 713.02

Commissioner for Patents
Washington, D.C. 20231

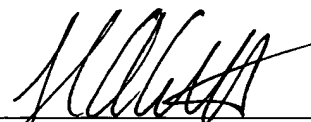
September 3, 2002

Dear Sir:

The undersigned is requesting a personal interview prior to the first Office Action under M.P.E.P. § 713.02. Please contact the undersigned at 703-390-3030 to schedule a personal interview.

Respectfully submitted,

Harness, Dickey & Pierce, P.L.C

By 
John A. Castellano, Reg. No. 35,094

P.O. Box 8910
Reston, VA 20195
(703) 390-3030

JAC/cah

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